

Virginia Department of Medical Assistance Services

**837 Professional Encounters
Data Clarification
for
Managed Care Organizations**



**ASC X12N 837
Version 004010X098A1**

**Version 3.0
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INTRODUCTION

This document is a companion to the National Electronic Data Interchange Transaction Set Implementation Guide, Health Care Claim: Professional, ASC X12N 837 dated May 2000 (IG) and the Addenda dated October 2002 (004010X098A1). The 837 IG and Addenda are available from the Washington Publishing Company and may be downloaded from www.wpc-edi.com/hipaa/.

DMAS intends that this clarification document be used in conjunction with the IG and Addenda, which contain all of the Health Insurance Portability and Accountability Act (HIPAA) transaction and code set requirements. This document supplements the IG and Addenda with data clarifications that are authorized under HIPAA. It is provided to clarify situations where the IG is not specific and to help the MCO understand how DMAS will be using the inbound 837 transactions and its data elements in the Virginia Medicaid Management Information System (VaMMIS).

PURPOSE

The purpose of this clarification is to outline DMAS's specific requirements with respect to the 837 data loops, segments, and elements for encounter data. The goal is to facilitate the contracted MCO's understanding of DMAS's data needs.

Professional claims and encounter data submitted to DMAS using the 837 transactions should follow the Provider-to-Provider-to-Payer COB data model referenced in the IG (see page 15 of the guide for information about this model). This model contains loops, segments, and data elements that provide information necessary for DMAS's MMIS and decision support systems.

Page numbers on the following data-clarification matrix refer to the page number in the IG on which the data element appears. Page numbers that begin with "A" are Addenda page numbers. Page numbers that begin with "B" are from Appendix B of the IG, EDI Control Directory.

All data elements that are used by the VaMMIS are listed on the following matrix. The matrix does **not** include all data elements that are required by the IG and those must be coded according to instructions in the IG. The instructions here are not intended to override instructions or requirements contained in the IG; they are provided to clarify DMAS's expectations with respect to the various data elements within the 837 transaction where interpretation is possible.

Not all data elements that are indicated as used on the following matrix are required in every situation. Some of the data elements indicated as used are required only when a specific situation is present. For example, the CR1 segment in the 2300 loop for ambulance transport is only required on ambulance claims.

If an MCO is submitting both claims to be paid by DMAS and encounters for services rendered and paid under DMAS's capitation agreement with the MCO, these must be submitted in separate ISA-IEA envelopes.

REQUIRED ENCOUNTER DATA

All encounters processed by the MCO or any MCO subcontracted vendor should be submitted to DMAS in the prescribed format, including records that were denied for most reasons.

The exceptions, which should NOT be submitted to DMAS, are:

- Encounters that are rejected by the MCO
- Encounters that are duplicates of records previously submitted by the provider
- Encounters that contain an invalid Medicaid recipient identifier
- Encounters for Medicaid recipients who are not enrolled with your MCO

If the encounter being submitted is one that you have denied, the encounter should be submitted to DMAS with the appropriate denial reason code from the Adjustment Reason Code set (code source 139) appearing in the first CAS segment of the encounter.

ADJUSTMENTS and VOIDS

When submitting adjustment or void records, please ensure the adjusted or void record conforms to the following requirements:

1. If the record to which the adjustment applies was not previously submitted to VaMMIS, the original record must precede the adjustment record in the file containing the adjustment record. In other words, you can submit an original and adjustment record in the same file as long as the original record precedes the adjustment record.
2. Your claim number on the original record must be coded in Loop 2300, REF segment (page 180 of IG), REF02 – Original Reference Number. If this number does not match a number in the DMAS system, the adjustment or void record will be assigned a fatal error code.
3. If you are adjusting or voiding one service line on a claim that has more than one line, you must adjust or void all lines. The order in which the service lines appear on an adjusted or voided claim must be the same as on the original claim.

NATIONAL PROVIDER IDENTIFIER

The final rule on National Provider Identifiers (NPI) became effective on May 23, 2008. The final rule specifies that a covered provider must use its assigned NPI where called for on all HIPAA-specified electronic transactions exchanged between covered entities beginning on May 23, 2008.

For providers that are not considered health care providers and cannot obtain an NPI (such as taxi drivers), DMAS will assign an a-typical provider id (API) that is ten-digits and will mimic the NPI. This ID will be referred to as an API, or atypical provider identification.

DMAS DOCUMENTATION

To further assist MCOs in the encounter data submission process, DMAS is providing other information that MCOs should review. These documents include:

- EDI Submission Manuals at <https://www.viriniamedicaid.dmas.virginia.gov/wps/portalEDIFormsLinks>
- Companion Guides at <https://viriniamedicaid.dmas.virginia.gov/wps/portal/EDICompanionGuides>
- Encounter Data Submission Manual at <http://www.dmas.virginia.gov/mc-encounter.htm>

The Companion Guides are not specific to encounter data, but may contain helpful information not found in the Data Clarifications or this Encounter Data Submission Manual.

**Virginia Department of Medical Assistance Services
Data Clarification – 837 Professional Transactions
for
Managed Care Organizations**

Page	Loop	Segment	Data Element	Clarification
B.3		ISA	ISA01 – Authorization Information Qualifier	Use “00”
B.3		ISA	ISA02 – Authorization Information	Use ten blanks
B.4		ISA	ISA03 – Security Information Qualifier	Use “00”
B.4		ISA	ISA04 – Security Information	Use ten blanks
B.4		ISA	ISA05 – Interchange ID Qualifier	Use “ZZ”
B.4		ISA	ISA06 – Interchange Sender ID	Use the MCOs four-digit Service Center Number assigned by the fiscal agent
B.4		ISA	ISA07 – Interchange ID Qualifier	Use “ZZ”
B.5		ISA	ISA08 – Interchange Receiver ID	Use “VMAP FHSC FA”
B.5		ISA	ISA09 – Interchange Date	YYMMDD of interchange
B.5		ISA	ISA10 – Interchange Time	HHMM of interchange
B.5		ISA	ISA11 – Interchange Control Standards Identifier	Use “U”
B.5		ISA	ISA12 – Interchange Control Version Number	Use “00401”
B.5		ISA	ISA13 – Interchange Control Number	Nine-digit control number assigned by sender. Must match the value in IEA02.

Page	Loop	Segment	Data Element	Clarification
B.6		ISA	ISA14 – Acknowledgment Requested	Use “0”
B.6		ISA	ISA15 – Usage Indicator	Use “P” for production data or “T” for test data
B.6		ISA	ISA16 – Component Element Separator	Use “>”
B.8		GS	GS01 – Functional Identifier Code	Use “HC”
B.8		GS	GS02 – Application Sender’s Code	Use the MCOs four-digit Service Center Number assigned by the fiscal agent
B.8		GS	GS03 – Application Receiver’s Code	Use “VMAP FHSC FA”
B.8		GS	GS04 – Functional Group Creation Date	CCYYMMDD
B.8		GS	GS05 – Creation Time	HHMM
B.9		GS	GS06 – Group Control Number	Assigned by the MCO. Must be identical to the associated functional group trailer, GE02.
B.9		GS	GS07 – Responsible Agency Code	Use “X”
B.9		GS	GS08 – Version/Release/ Industry Identifier Code	Use “004010X098A1”
62		ST	ST01 – Transaction Set Identifier Code	Use “837”
62		ST	ST02 – Transaction Set Control Number	Use a number that is unique within the functional group and interchange (GS-GE and ISA-IEA). Must be identical to SE02.
63		BHT	BHT01 – Hierarchical Structure Code	Use “0019”
64		BHT	BHT02 – Transaction Set Purpose Code	Use “00” if original submission; use “18” if the file is being resubmitted.

Page	Loop	Segment	Data Element	Clarification
64		BHT	BHT03 – Originator Application Transaction Identifier	Specific to the MCO – this will operate as the batch control number.
64		BHT	BHT04 – Creation Date	CCYYMMDD
65		BHT	BHT05 – Creation Time	HHMM
65		BHT	BHT06 - Transaction Type Code	Use “RP”(Reporting)
A13		REF	REF01 – Reference ID Qualifier	Use “87”
A13		REF	REF02 – Transmission Type Code	Use “004010X098A1”
69	1000A	NM1	NM109 – Submitter Primary Identifier	Use the MCOs four-digit Service Center Number assigned by the fiscal agent
75	1000B	NM1	NM103 – Last Name or Organization Name	Use “Dept of Med Assist Svcs”
75	1000B	NM1	NM109 – Receiver Primary ID Code	Use “Dept of Med Assist Svcs”
86	2010AA	NM1	NM108 – Identification Code Qualifier	If submitting the NPI number, use “XX”. If submitting an API number use, along with the REF01 segment: 24 = Employer’s Identification Number 34 = Social Security Number
86	2010AA	NM1	NM109 – Billing Provider ID	If NM108 is XX, this is the NPI for the provider that is billing for the service (never the MCO’s or vendors ID).
92	2010AA	REF	REF01 – Reference ID Qualifier	If NM108 is NOT XX, use “1D” (Medicaid Provider Number). This segment will be used to send the ten-digit API. NOTE: Nine-digit legacy numbers are no longer valid and will cause the claim to issue a fatal error. In its place the NM1 segment will be used to report the billing provider NPI.

Page	Loop	Segment	Data Element	Clarification
92	2010AA	REF	REF02 – Billing Provider Secondary ID	
108	2000B	HL	None	The number of claims within an ST/SE segment is limited to 5,000 as recommended in the IG. There is an implied maximum of 5,000 for the number of Subscriber Hierarchical Level loops.
110	2000B	SBR	SBR01 – Payer Responsibility Sequence Number Code	Use “S” (Secondary) or “T” (Tertiary)
118	2010BA	NM1	NM103 – Subscriber’s Last Name	Report the last name of the subscriber
118	2010BA	NM1	NM104 – Subscriber’s First Name	Report the first name of the subscriber
A17	2010BA	NM1	NM108 – Subscriber ID Qualifier	Use “MI” (Member Identification Number)
A17	2010BA	NM1	NM109 – Subscriber Primary ID	Use the twelve-digit enrollee ID number assigned by Virginia Medicaid
171	2300	CLM	CLM01 – Patient Control Number	The MCO’s claim reference number
172	2300	CLM	CLM02 – Total Claim Charges	Total claim charge amount
173	2300	CLM	CLM05-1 – Facility Type Code	The place of service value from code source 237
A22	2300	CLM	CLM05-3 – Claim Frequency Type Code	Use the appropriate code as follows: 1 = Original 7 = Replacement 8 = Void
A23	2300	CLM	CLM11-1 Related Cause Code	AA = Auto Accident AP = Another Party Responsible EM = Employment OA = Other Accident

Page	Loop	Segment	Data Element	Clarification
A25	2300	CLM	CLM12 – Special Program Code	01 = EPSDT 02 = Physically Handicapped Children’s Program 03 = Special Federal Funding 05 = Disability 07 = Induced Abortion – Danger to Life 08 = Induced Abortion – Rape or Incest 09 = Second Opinion or Surgery
A26	2300	DTP	DTP03 – Initial Treatment Date	CCYYMMDD
208	2300	DTP	DTP03 – Related Hospitalization Admission Date	CCYYMMDD
211	2300	DTP	DTP03 – Related Hospitalization Discharge Date	CCYYMMDD
215	2300	PWK	PWK01 – Attachment Report Type Code	See Implementation Guide for valid values
216	2300	PWK	PWK02 – Attachment Transmission Code	See Implementation Guide for valid values

Page	Loop	Segment	Data Element	Clarification												
216	2300	PWK	PWK06 – Attachment Control Number	<p>If PWK02 = BM, EL, EM or FX: Maximum of 33 positions with no embedded spaces or special characters, such as slashes, dashes, punctuation, etc. Made up of three separate fields as follows:</p> <table border="0"> <thead> <tr> <th><u>Positions</u></th> <th><u>Information</u></th> <th><u>Instructions</u></th> </tr> </thead> <tbody> <tr> <td>1 – 20</td> <td>Patient Account Number</td> <td>Left justify, blank fill</td> </tr> <tr> <td>21 – 28</td> <td>From Date of Service</td> <td>Use value from first service line; MMDDCCYY</td> </tr> <tr> <td>31 – 33</td> <td>Sequential control number</td> <td>Right justified, zero filled</td> </tr> </tbody> </table> <p>The attachment control number should be the same for every attachment associated with a specific claim.</p>	<u>Positions</u>	<u>Information</u>	<u>Instructions</u>	1 – 20	Patient Account Number	Left justify, blank fill	21 – 28	From Date of Service	Use value from first service line; MMDDCCYY	31 – 33	Sequential control number	Right justified, zero filled
<u>Positions</u>	<u>Information</u>	<u>Instructions</u>														
1 – 20	Patient Account Number	Left justify, blank fill														
21 – 28	From Date of Service	Use value from first service line; MMDDCCYY														
31 – 33	Sequential control number	Right justified, zero filled														
217	2300	CN1	CN101 – Contract Type Code	<p>The method by which the MCO paid its provider: 01 = DRG 02 = Per Diem 03 = Variable Per Diem 04 = Flat 05 = Capitated (NOTE: Should not reflect the MCOs payment arrangement with a subcontractor, but should reflect how the MCO or subcontractor paid the provider). 06 = Percent 09 = Other</p>												
A30	2300	AMT	AMT01 – Amount Qualifier	Use “F5” (Patient Amount Paid)												
A30	2300	AMT	AMT02 – Patient Amount Paid	Total amount the patient paid on this claim												
228	2300	REF	REF01 – Reference Identification Qualifier	If this service received prior authorization, use “G1” (Prior Authorization Number)												
229	2300	REF	REF02 – Prior Authorization Number	MCO’s prior authorization number, if applicable												

Page	Loop	Segment	Data Element	Clarification
230	2300	REF	REF01 – Reference Identification Qualifier	Use “F8” (Original Reference Number) if this record is a replacement or void of a previously submitted record (a value of 7 or 8 CLM105-3).
230	2300	REF	REF02 – Claim Original Reference Number	For void or replacement records, the MCO’s original claim number. Note that this should be a maximum of 20 positions.
232	2300	REF	REF01 – Reference Identification Qualifier	Use “X4” (CLIA number)
232	2300	REF	REF02 – CLIA Number	The Clinical Laboratory Improvement Amendment number
247	2300	NTE	NTE01 – Note Reference Code	ADD = Additional Information CER = Certification Narrative DCP = Goals, Rehabilitation Potential, or Discharge Plans DGN = Diagnosis Description PMT = Payment TPO = Third Party Organization Notes
247	2399	NTE	NTE02 – Claim Note Text	Free text remarks, if needed
250	2300	CR1	CR105 – Unit or Basis for Measurement Code	Use “DH” (Miles)
250	2300	CR1	CR106 – Ambulance Transport Distance	For ambulance claims, the number of miles of transport.
266	2300	HI	HI01-1 – Code List Qualifier	Use “BK” (Principal Diagnosis)
266	2300	HI	HI01-2 – Diagnosis Code	ICD-9-CM diagnosis which is the principal cause of the claim
266-270	2300	HI	HI02-1 to HI08-1 – Code List Qualifier	Use “BF” (Diagnosis code) for all additional diagnosis codes.
266-270	2300	HI	HI02-2 to HI08-2 – Diagnosis Code	Secondary diagnosis codes applicable to the claim

Page	Loop	Segment	Data Element	Clarification
288	2310A	REF	REF01 – Reference Identification Qualifier	<p>1D- Medicaid Provider Number EI- Employer’s Identification Number SY- Social Security Number</p> <p>EI or SY must be used when the 10-digit NPI is sent in the Billing Provider Name segment of this loop.</p> <p>When the API is sent, use the 1Dqualifier.</p>
289	2310A	REF	REF02 – Referring Physician Secondary Identifier	<p>Beginning 05/23/2008, only the 10-digit API should be submitted using the 1D qualifier.</p>
296	2310B	REF	REF01 – Reference Identification Qualifier	<p>1D- Medicaid Provider Number EI- Employer’s Identification Number SY- Social Security Number</p> <p>EI or SY must be used when the 10-digit NPI is sent in the Billing Provider Name segment of this loop.</p> <p>When the API is sent, use the 1D qualifier.</p>
297	2310B	REF	REF02 – Rendering Physician Secondary Identifier	<p>Beginning 05/23/08, only the 10-digit API should be submitted using the 1D qualifier.</p> <p>When sending the EI qualifier, use the Employer Identification Number.</p> <p>When sending the SY qualifier, use the SSN</p>
323	2320	CAS		<p>If you denied this entire claim or made any adjustment at the claim level, use the following 2320 segments.</p>
326-330	2320	CAS	CAS02, 05, 08, 11, 14, 17 – Adjustment Reason Codes	<p>Use any denial codes in the first of these segments; if the claim was not denied by the MCO, use the segments needed to balance the transaction.</p>

Page	Loop	Segment	Data Element	Clarification
327-330	2320	CAS	CAS03, 06, 09, 12, 16, 18 – Adjustment Amount	If the claim was denied, show the entire charge amount as denied; otherwise use the segment amounts as needed to balance the transaction.
332	2320	AMT	AMT02 – Payer Paid Amount	The amount the MCO paid for this claim.
334	2320	AMT	AMT02 – Allowed Amount	The amount the MCO allowed for this claim.
335	2320	AMT	AMT02 – Patient Responsibility Amount	Any amount paid by the patient for this claim.
367	2330B	DTP	DTP- Adjudication or Paid Date	Date expressed in CCYYMMDD format
399	2400	LX	LX01 – Service Line Number	VA Medicaid suggests that the number of service lines on a claim be restricted to 350 or less.
A55	2400	SV1	SV101-1 – Service ID Qualifier	Use “HC” (HCPCS procedure code – CPT Level 1 and HCPCS Level II)
A56	2400	SV1	SV101-2 – Procedure Code	Required on outpatient facility services if an appropriate code exists.
A56	2400	SV1	SV101-3 – Procedure Modifier	Use a valid modifier if it will clarify/improve the reporting accuracy of the associated procedure code.
402	2400	SV1	SV101-4 – Procedure Modifier	Use a valid modifier if it will clarify/improve the reporting accuracy of the associated procedure code.
402	2400	SV1	SV101-5 – Procedure Modifier	Use a valid modifier if it will clarify/improve the reporting accuracy of the associated procedure code.
402	2400	SV1	SV101-6 – Procedure Modifier	Use a valid modifier if it will clarify/improve the reporting accuracy of the associated procedure code.
402	2400	SV1	SV102 – Line Item Charge Amount	If not reported at the claim level, the submitted charge amount for this line item.
403	2400	SV1	SV103 – Unit or Basis for Measurement Code	MJ = Minutes (for anesthesia claims) UN = Unit
403	2400	SV1	SV104 – Service Unit Count	Number of units or minutes
404	2400	SV1	SV105 – Place of Service Code	Required if not the same value as that used in the 2300 loop.

Page	Loop	Segment	Data Element	Clarification
405	2400	SV1	SV107-1 – Diagnosis Code Pointer	A value of 1 through 8, inclusive, that identifies the primary diagnosis code for this service; this pointer “points” to a diagnosis in the 2300 HI diagnoses segment.
405	2400	SV1	SV107-2 – Diagnosis Code Pointer	A value of 1 through 8, inclusive, that identifies, in declining order of importance, additional diagnoses for this service line.
405	2400	SV1	SV107-3 – Diagnosis Code Pointer	A value of 1 through 8, inclusive, that identifies, in declining order of importance, additional diagnoses for this service line.
405	2400	SV1	SV107-4 – Diagnosis Code Pointer	A value of 1 through 8, inclusive, that identifies, in declining order of importance, additional diagnoses for this service line.
A57	2400	SV1	SV109 – Emergency Indicator	Use “Y” if service was rendered on an emergency basis.
A57	2400	SV1	SV112 – Family Planning Indicator	Use “Y” if service involved family planning.
414	2400	CR1	CR106 – Transport Distance	For ambulance services, the transport distance if different from that reported at the claim level.
436	2400	DTP	DTP03 – Service Date	If different than the date reported at the claim level
A66	2400	DTP	DTP01 – Date Time Qualifier	Use “454” (Initial Treatment Date) for services involving spinal manipulation
A67	2400	DTP	DTP03 – Initial Treatment Date	Date expressed as CCYYMMDD
466	2400	CN1	CN101 – Contract Type Code	The method by which the MCO paid its provider for this service: 01 = DRG 02 = Per Diem 03 = Variable Per Diem 04 = Flag 05 = Capitated (NOTE: Should not reflect the MCOs payment arrangement with a subcontractor, but should reflect how the MCO or subcontractor paid the provider). 06 = Percent 09 = Other

Page	Loop	Segment	Data Element	Clarification
470	2400	REF	REF02 – Prior Authorization or Referral Number	Not required of MCOs but will be collected if coded
473	2400	REF	REF02 – Line Item Control Number	The MCO’s line item control number
476	2400	REF	REF02 – CLIA number	Clinical Laboratory Improvement Amendment number if different from that reported at the claim level
485	2400	AMT	AMT02 – Approved Amount	The amount the MCO approved for this service.
488	2400	NTE	NTE01 – Note Reference Code	Use “ADD” (Additional Information)
488	2400	NTE	NTE02 – Line Note Text	If information different from that provided in the claim level loop is required, free form text
548	2420F	REF	REF02 – Referring Provider Secondary Identifier	1D-Medicaid Provider Number EI-Employer’s Identification Number SY-Social Security Number EI or SY must be used when the 10- digit NPI is sent in the Billing Provider Name segment of this loop. When the API is sent, use the 1D qualifier.
555	2430	SVD	SVD02 – Service Line Paid Amount	Amount paid for this specific service
558	2430	CAS		DMAS requires line level adjudication for all professional services.
560-565	2430	CAS	CAS02, 05, 08, 11, 14, 17 – Adjustment Reason Codes	Use any denial codes in the first of these segments; if the claim was not denied by the MCO, use the segments needed to balance the transaction.
560-565	2430	CAS	CAS03, 06, 09, 12, 16, 18 – Adjustment Amount	If the claim was denied, show the entire charge amount as denied; otherwise use the segment amounts as needed to balance the transaction.

Page	Loop	Segment	Data Element	Clarification
566	2430	DTP	DTP03 – Service Line Adjudication or Paid Date	Date expressed in format CCYYMMDD
B.30		SE	SE01 – Number of Included Segments	Total number of segments included in a transaction set, including the ST and SE segments.
B.30		SE	SE02 – Transaction Set Control Number	Must match the control number in ST02.
B.10		GE	GE01 – Number of Transaction Sets Included	Total number of transaction sets included.
B.10		GE	GE02 – Group Control Number	Must be the same number contained in GS06.
B.7		IEA	IEA01- Number of Included Functional Groups	A count of the number of functional groups included in the interchange.
B.7		IEA	IEA02 – Interchange Control Number	Must match the control number in ISA13.